

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dutta et al

Serial No.: 09/833,347

Filed: April 12, 2001

For: Method and Apparatus for
Incorporating Scanned Checks into
Financial Applications



35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Group Art Unit: 2161

Examiner: Kazimi, Hani M.

Attorney Docket No.: AUS920010214US1

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By: Louise Fay
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Commissioner for Patents
P.O. Box 1450
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- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
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No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Duke W. Yee".

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dutta et al.

Serial No: **09/833,347**

Filed: **April 12, 2001**

Title: **Method and Apparatus for
Incorporating Scanned Checks into
Financial Applications**

Group Art Unit: **2161**

Intellectual Property
Law Department
International Business
Machines Corporation
11400 Burnet Road
Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

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The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

The undersigned certifies that this Supplemental IDS is being submitted:

- a) within three months of the filing of a national application
- b) within three months of entering national stage
- c) before mailing of a first Office Action on the merits; or
- d) before the mailing of a first Office Action after filing of a request for Continued Examination.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



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Substitute for form 1449 PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number	09/833,347
Filing Date	April 12, 2001
First Named Inventor	Dutta et al.
Examiner Name	Kazimi, Hani M.
Art Unit	2161
Attorney Docket No.	AUS920010214US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	6,786,398 B1	Sep. 7, 2004	Stinson et al.	
AB	6,021,202	Feb. 1, 2000	Anderson et al.	
AC	5,444,794	Aug. 22, 1995	Uhland, Sr.	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

NON PATENT LITERATURE

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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